

REGULATORY WORK

Regulatory, compliance and investigations in the GCC



The challenges you face

Our clients need to understand and respond to changing risk profiles across the globe in an environment of increased regulatory oversight. Companies must be transparent and ensure they have appropriate risk management systems in place to reduce their exposure to risk.

We closely monitor the developing political and economic environment understanding the implications of the latest laws and regulations while paying close attention to new technological developments to ensure our clients are sufficiently protected.

Sometimes difficulties are unavoidable and we recognise that prompt and effective action is fundamental to our clients' business and their relationship with regulators and other authorities. Addleshaw Goddard's Regulatory, Compliance and Investigations team draws on its experience of dealing with government bodies and regulatory authorities to prevent disputes and investigations arising.

The expertise you need

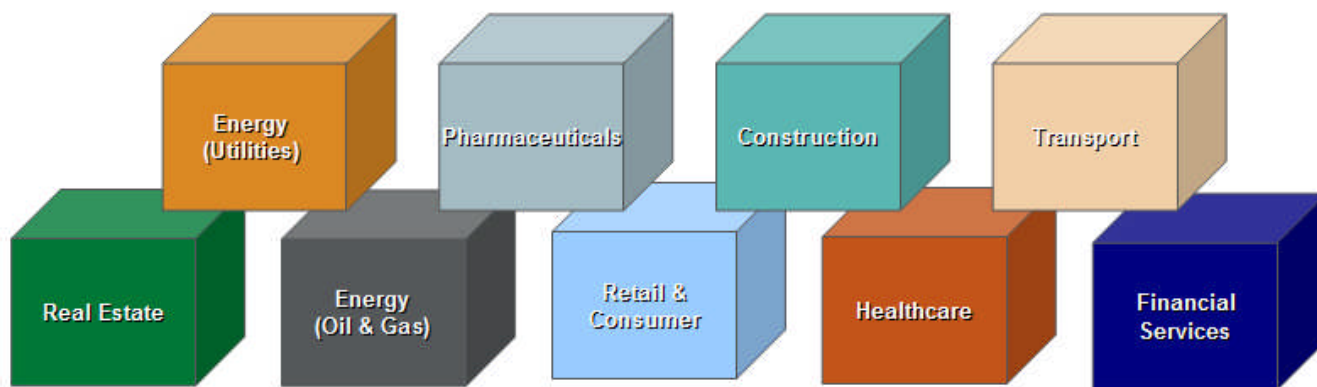
Addleshaw Goddard has significant experience of assisting clients with complex and contentious regulatory issues and investigations. Whether conducting internal inquiries or managing actions by regulators, we are able to offer considerable technical and subject matter expertise to our clients in the GCC and worldwide.

Many of our lawyers have experience of working for regulators or within regulated firms. The team has an innate awareness of the specific issues which arise in dealing with contentious regulatory matters and are able to provide our clients with valuable strategic assistance.

Our team is able to deliver accurate, swift and incisive analysis of issues. Our Transactional Support Team, consisting of 50 paralegals in the UK, also provides a flexible and cost-effective solution for document heavy investigations.

What we do

The team has an innate awareness of the specific issues which arise in dealing with contentious regulatory matters and are able to provide our clients with valuable strategic assistance in the following sectors:



Where we work

Out of its UK and GCC offices, Addleshaw Goddard's Regulatory, Compliance and Investigations team's practice covers the following jurisdictions across the GCC and Africa:

- | | | |
|------------------------|---------------------------|------------|
| ▶ United Arab Emirates | ▶ Kingdom of Saudi Arabia | ▶ Qatar |
| ▶ Oman | ▶ Kuwait | ▶ Bahrain |
| ▶ Nigeria | ▶ Ghana | ▶ Guinea |
| ▶ Mali | ▶ South Africa | ▶ Tanzania |
| ▶ Zimbabwe | ▶ Kenya | ▶ Uganda |
| ▶ Cameroon | ▶ Namibia | ▶ Ethiopia |

Who we work for

Recent specialist experience of the team includes:

- ▶ Advising a blue chip global banking institution on strategy and specific responses to **Dubai Financial Services Authority investigations** and accompanying individuals to interviews
- ▶ Acting on behalf of a **global insurance company** in relation to a DFSA investigation. Advising on strategy and substantial disclosure exercise following an **urgent internal investigation**
- ▶ Instructed on claim by private equity firm against its former directors for breach of **directors' duties**
- ▶ Substantial investigation into KSA Ministry of Health **pharmaceutical procurement tender** and disputes arising
- ▶ Advising on regulatory matters on numerous **IPPs and IWPPs** in the GCC
- ▶ Carrying out a review of financial services and regulatory environment for **doing business and operating in GCC states** for several international banks
- ▶ Advice on **licensing and formation issues** within DIFC and the UAE regulatory regime
- ▶ Advising blue chip UAE group on **regulatory, compliance and anti-bribery and corruption issues** and the potential impact on its subsidiaries across the Middle East and North Africa
- ▶ Instructed by a leading English Premiership League football club to conduct an **urgent internal investigation** and present the independent findings to members of the global board
- ▶ The Al-Sweady **Public Inquiry** led by the UK Ministry of Defence
- ▶ Providing regular advice and seminars on the **impact of international anti-bribery and corruption regulations** for global companies and their worldwide subsidiaries including a leading airline

Further information

For more information on our dispute resolution services, and how we can help you, please contact one of our team members:



Andrew Greaves
Managing Partner
+971 4 350 6401
+971 50 451 5461
a.greaves@aglaw.com



Sara Carmody
Legal Director
+971 4 350 6438
+971 50 551 3279
s.carmody@aglaw.com



Paul Hughes
Managing Associate
+971 4 350 6402
+971 56 656 2018
p.hughes@aglaw.com



Bevan Farmer
Managing Associate
+971 4 350 6420
+971 5 5148 8591
b.farmer@aglaw.com



Nicola Dorgham-Milne
Associate
+971 4 350 6403
n.dorgham-milne@aglaw.com



Gilbert Hakim
Associate
+971 4 350 6427
+971 52 645 1604
g.hakim@aglaw.com

aglaw.com

Doha, Dubai, Hong Kong, Leeds, London, Manchester, Muscat, Singapore and Tokyo*

*a formal alliance with Hashidate Law Office

© 2016 Addleshaw Goddard LLP. All rights reserved. Extracts may be copied with prior permission and provided their source is acknowledged.

This document is for general information only. It is not legal advice and should not be acted or relied on as being so, accordingly Addleshaw Goddard disclaims any responsibility. It does not create a solicitor-client relationship between Addleshaw Goddard and any other person. Legal advice should be taken before applying any information in this document to any facts and circumstances.

Addleshaw Goddard is an international legal practice carried on by Addleshaw Goddard LLP (a limited liability partnership registered in England & Wales and authorised and regulated by the Solicitors Regulation Authority) and its affiliated undertakings. Addleshaw Goddard operates in the Dubai International Financial Centre through Addleshaw Goddard (Middle East) LLP (registered with and regulated by the DFSA), in the Qatar Financial Centre through Addleshaw Goddard (GCC) LLP (licensed by the QFCA), in Oman through Addleshaw Goddard (Middle East) LLP in association with Nasser Al Habsi & Saif Al Mamari Law Firm (licensed by the Oman Ministry of Justice) and in Hong Kong through Addleshaw Goddard (Hong Kong) LLP (a limited liability partnership registered in England & Wales and registered and regulated as a foreign law firm by the Law Society of Hong Kong) in association with Francis & Co. In Tokyo, legal services are offered through Addleshaw Goddard's formal alliance with Hashidate Law Office. A list of members/principals for each firm will be provided upon request.

The term partner refers to any individual who is a member of any Addleshaw Goddard entity or association or an employee or consultant with equivalent standing and qualifications.

If you prefer not to receive promotional material from us, please email us at unsubscribe@aglaw.com.

For further information please consult our website www.addleshawgoddard.com or www.aglaw.com.